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GBD 2010: design, definitions, and metrics

The Global Burden of Diseases, Injuries, and Risk Factors (GBD) enterprise is a systematic, scientific effort to quantify the comparative magnitude of health loss due to diseases, injuries, and risk factors by age, sex, and geography for specific points in time. The GBD construct of the burden of disease is health loss, not income or productivity loss.1 For decision makers, health-sector leaders, researchers, and informed citizens, the GBD approach provides an opportunity to see the big picture, to compare diseases, injuries, and risk factors, and to understand in a given place, time, and age-sex group what are the most important contributors to health loss.

The Global Burden of Disease Study 2010 (GBD 2010) builds on the earlier versions for 1990, 1999-2002, and 2004 sponsored by the World Bank and WHO.²⁻¹⁰ A more thorough description of the context, objectives, key definitions, and metrics used in GBD 2010 is provided in the appendix. Previous GBD studies have led to national burden of disease studies in at least 37 countries and subnational studies in eight countries. GBD 2010 was implemented as a collaboration between seven institutions: the Institute for Health Metrics and Evaluation as the coordinating centre, the University of Queensland School of Population Health, the Harvard School of Public Health, the Johns Hopkins Bloomberg School of Public Health, the University of Tokyo, Imperial College London, and WHO. The study was designed to address key limitations of previous studies, such as the absence of uncertainty intervals, and to solicit the input of many expert advisers across the spectrum of diseases and risk factors. This study represents a great expansion in the scope of work from previous GBD revisions, including a larger disease and injury cause list, more risk factors, many more age groups, and an assessment for three time periods. Furthermore, a completely revised and improved set of estimation methods has been

developed; most notably, the prevalence of diseases and their sequelae is estimated using statistical inference on all available data.

A key aspect of the study is the hierarchical cause list for 291 diseases and injuries. This list has four levels of diseases and injuries and a fifth level for sequelae (appendix p 6). The 1160 sequelae are designed to capture the direct consequences of disease or injury that are not otherwise captured elsewhere in the cause list. Across sequelae, there are 220 common sequelae called health states in GBD 2010. For example, anaemia is identified as a sequela of 19 diseases in the cause list. Three health states are associated with anaemia: mild anaemia, moderate anaemia, and severe anaemia. For each of the health states, a lay description was developed for use in the empirical assessment of disability weights. As with diseases, we have developed a hierarchical list of 69 risk factors for which we have developed estimates See Online for appendix for 67 (appendix p 6).

We divided countries into 21 regions on the basis of two criteria: epidemiological homogeneity, and geographical contiguity (appendix pp 6–7). For some statistical analyses, we grouped regions into seven super-regions. To facilitate various detailed analyses, we estimate the burden of disease in 20 age groups for each sex separately: early neonatal, late neonatal, postneonatal, 5 year age groups from 1-4 years to 75-79 years, and 80 years and older. Using strictly comparable data and methods, we have estimated the burden of disease for 1990, 2005, and 2010 to allow meaningful estimation of time trends. This study supersedes all previously published GBD study results.

Figure 1 summarises the overall analytical strategy for GBD 2010 and identifies 18 distinct components. The strong interconnections between components mean that changes in one component require the



See Comment pages 2053, 2054, 2055, 2058, 2060, and 2062 See Special Report page 2067 See Articles pages 2071, 2095, 2129, 2144, 2163, 2197, and 2224

re-estimation of multiple components. For example, changes in the estimation of age-specific mortality rates (component 2) leads to changes in the rescaled deaths for each cause (component 5), changes in healthy life expectancy (component 12), changes in years of life lost due to premature mortality (YLLs; component 13), and changes in risk factor-attributable YLLs (component 18). Details on each component are provided in appendix pp 8–13 and accompanying articles in *The Lancet*.¹¹⁻¹⁷ Uncertainty in each component is propagated through to the results with simulation methods.

Comparisons require the use of summary metrics that allow meaningful juxtaposition of deaths and nonfatal health outcomes. The basic unit of measurement for these summary measures is lost years of healthy life. The construction of time-based summary metrics requires making a series of value choices.¹⁸ These value choices are either explicit or implicit in all summary measures of population health. Since the publication of GBD 1990, there has been extensive debate on these value choices,¹⁸⁻²³ for GBD 2010, we therefore convened a consultation of 21 philosophers, ethicists, and economists to advise on current thought with regard to these value choices (appendix pp 13–16). In summary, we have

chosen to simplify the calculation of disability-adjusted life years (DALYs). First, we developed a new normative standard life table for males and females to compute YLLs at each age by identifying the lowest observed death rate for any age group in countries of more than 5 million in population. The new reference life table has a life expectancy at birth of 86.0 years for males and females. Second, years lived with disability (YLDs) have been estimated taking into account comorbidity in individuals. Third, we have computed YLDs simply as the prevalence of each sequela multiplied by the relevant disability weight adjusted for comorbidity. Fourth, on the basis of many arguments,²⁴⁻²⁶ we have chosen not to discount YLLs, YLDs, or DALYs for time. Fifth, we conclude that we should treat a year of healthy life as equal irrespective of the age at which it is lived. The simpler version of YLLs, YLDs, and DALYs is thus conceptually grounded and easier to explain. It does, however, imply a substantial shift towards greater weight being given to deaths at younger ages, especially younger than 5 years, and greater weight to deaths compared to non-fatal health loss.

Summary measures such as DALYs combine complex information across a wide range of health outcomes.

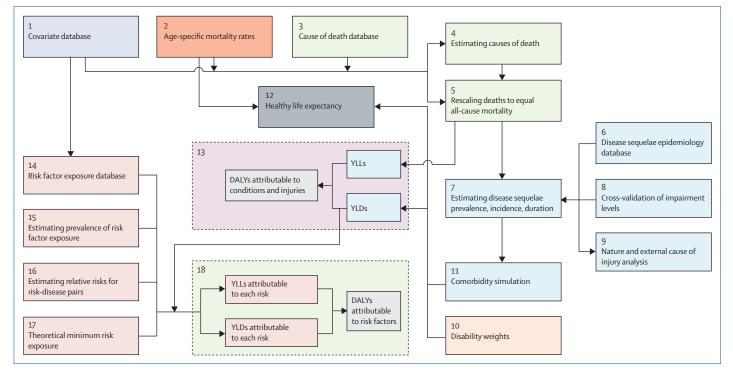


Figure 1: 18 components of the GBD 2010 and their inter-relations

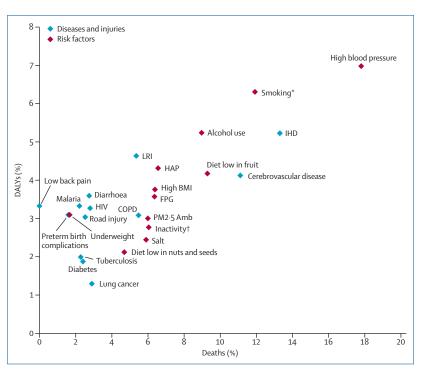
GBD 2010=Global Burden of Disease Study 2010. DALYs=disability-adjusted life years. YLLs=years of life lost due to premature mortality. YLDs=years lived with disability.

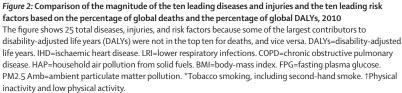
Figure 2 shows the power of comparison of these burden measures using the results of GBD 2010; it illustrates how leading risk factors compare with leading diseases and injuries and how changing the metric from deaths to DALYs alters the comparative importance of health problems. Because the assessment of burden for diseases and injuries is governed by the rules of the International Classification of Diseases and Injuries (ICD), which assigns every outcome to one cause among a set of mutually exclusive and collectively exhaustive causes, the interpretation of the fraction of deaths or DALYs from diseases is different than for risk factors, which are not so constrained. For some diseases and risk factors the fraction of DALYs is higher than the fraction of deaths. For example, this is the case for low back pain, malaria, underweight, preterm birth, diarrhoea, road injury, and HIV/AIDS. These disorders stand out as causing more burden measured in DALYs than deaths, because of either their effect on YLDs or deaths at young ages, or both.

Compared with previous efforts, GBD 2010 represents a major step towards a replicable scientific approach to global descriptive epidemiology. The discipline of propagating uncertainty across all components of the study has required a coherent approach to identifying sources of uncertainty and their objective quantification. An important emphasis on quantifying uncertainty has revealed that our knowledge of some disorders is limited. The width of 95% uncertainty intervals provides a mechanism of communicating to users the limitations of estimates for different diseases, injuries, and risk factors.

Modelling strategies that capture spatial and temporal patterns in the data have reduced estimation error. Where possible, objective tests of model performance through out-of-sample predictive validity have been included. These out-of-sample predictive validity tests have been designed to test how well prediction models perform even in settings where no data are available for a country. Efforts at cross-validation have been implemented not only for age-specific all-cause mortality and cause of death estimates, but also for several impairments that are caused by more than one disease. This study represents a major shift from subjective inputs to more replicable approaches. These approaches will foster further innovation in the future and will facilitate burden assessment for analysts, especially as versions that work on less sophisticated computational platforms become available.

GBD 2010 is the largest systematic effort to describe the epidemiology of a wide array of major diseases, injuries, and risk factors ever undertaken. Millions of observations on mortality, causes of death, disease and injury prevalence and incidence, and risk factors have been collected, assessed, and collated. The effort has taken 5 years with hundreds of contributing experts. Our understanding of global descriptive epidemiology has advanced but GBD 2010 has also identified huge lacunae in our knowledge. New data on all-cause mortality, cause-specific mortality, or disease sequelae prevalence will improve our understanding of priority health challenges as they become available, as will new multicountry studies on disease epidemiology. Meanwhile, we expect that this study will provide the essential health intelligence, with uncertainty, to guide policy debates about the most urgent global health challenges, and how well we are addressing them.





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